# Stakeholder Engagement

# **Creating Lasting Value through Strategic Engagement**

Saudi Tadawul Group takes a proactive and holistic approach to engaging with all Stakeholders, ensuring that each interaction fosters growth, trust and market development. By maintaining open communication, delivering tailored solutions and continuously evolving its offerings, the Group strengthens the capital market ecosystem while advancing the Kingdom's ambitious Vision 2030. Whether through issuers, business partners, employees, regulators or the broader financial community, Saudi Tadawul Group's commitment to collaboration drives innovation, enhances market resilience and unlocks new opportunities for sustainable economic growth.



lssuers		
How we engage	How often we engage	Key topic
We manage our relationships with issuers with one-on-one meetings, phone calls, conferences, workshops, regular check-ins and email exchanges.	<ul> <li>Continuous and dynamic engagement.</li> <li>Regular meetings, workshops and feedback sessions.</li> </ul>	<ul> <li>Disclosur complian listing rul</li> <li>Adhering (blackout</li> <li>New ince issuers.</li> <li>Market de and upco</li> <li>Changes processes products</li> </ul>





## s discussed Key actions

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- g to restriction ıt) periods.
- entives for
- developments oming events.
- es in fees, ses and ts related to
- market development.

- Conducting workshops to enhance issuers' understanding of products and services.
- Regularly engaging with issuers through surveys and meetings in collaboration with the CMA.
- Addressing feedback on fees, processes and product offerings.
- Implementing educational initiatives to improve market understanding and compliance.

## Value created

- Supported issuers in governance, continuity and succession planning.
- Ensured issuers remained agile and prepared for market changes.
- Provided clarity on compliance and regulatory requirements.
- Enhanced issuers' experience and success within the Group's ecosystem.

# Stakeholder Engagement continued

## Employees

#### How we engage How often we engage Key topics discussed Key actions

We prioritize effective and continuous engagement with our employees to foster a culture of collaboration, innovation and professional growth. Our engagement approach includes structured programs, feedback mechanisms, training initiatives and direct communication channels that ensure employees are actively involved in shaping the organization's future. We engage through social events, training programs, surveys and internal communication activities across the Group's divisions and its subsidiaries.

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- Daily, weekly or monthly Female basis based on the activity Annual Organizational Health Index (OHI)
- Assessment to measure employee satisfaction and workplace Talent development effectiveness. and retention Enhancing training Regular and effective and development town halls and programs, internal

mobility and

development.

leadership

Improvina

support.

engagement,

mental health

Performance

management:

Transitioning to a

dynamic, feedback-

driven performance

Strategic workforce

growth and market

employee benefits:

Expanding support for

employees and their

demands.

families.

management system.

satisfaction and

leadership Q&A sessions to provide transparency and address employee concerns

 Facilitate quarterly performance feedback for hires from the Graduate Development Program, and regular surveys for the new employees to support continuous

- enhancement and professional growth. • Align the Training and Leadership
- Development Programs with the organization's strategy and needs, also offering group learning experience to promote
- engagement, upskill employees against actual needs and enhance career growth.

- Female representation now stands at 32% of our empowerment Strengthening female workforce, with 51% of new graduate hires being representation among our workforce and women under the supporting their Graduate Development career progression. Program.
  - Launched the second edition of the Future Leaders Program and the High-Potential Development Program with highly recognized training institutes globally.
- Workplace culture and Optimized reporting lines employee well-being: and streamlined decisionmaking for better collaboration.
  - Shifted to a continuous feedback model aligned with strategic goals.
  - Implemented an Aldriven recruitment platform to enhance hiring and candidate experience.
- Signed MoU with Prince Mohammed Bin Salman planning: Aligning HR College offering tuition policies with business fee discounts of higher education programs to the employees and their • Work-life balance and families.
  - Partnering with local and international schools and kindergartens offering benefits and tuition discounts to employees' families.
  - Launched the Summer Internship Program for 20 students (56% female), equipping them with market-ready skills.

• Career growth and learning: Provided structured leadership, technical and soft skills training, empowering employees at all levels to advance in their careers • Workforce agility and internal mobility: Encouraged crossfunctional movement

Value created

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and iob rotation to foster a dynamic, growth-oriented environment. Recognition and retention strategies:

Strengthened employee motivation through enhanced performance rewards and engagement activities.

balance: Extended well-being programs and expanded flexible work arrangements

• Fostering a collaborative culture: Through strategic HR initiatives, STG continues to create a thriving, inclusive and forward-thinking workplace where employees are empowered to contribute to the organization's long-

term success.

• Support for work-life where applicable.

How we engage

Regular meetings, email updates, calls and in-person visits to their offices. We also host workshops and forums to address specific topics and maintain open communication. The introduction of the new Members Committee that

Members

will engage the high-level individuals and decision makers in the capital market.

#### Daily contact with members through calls to ensure ongoing support.

 Monthly reviews, quarterly performance meetings.

• Visits as needed.

- System readiness and integration.
- Onboarding new members. Business growth

Vendors and Partners

potential clients to promote

obtain feedback which helps

our offerings in addition to

in enhancing/introducing

solutions that respond to

their demands.

How we engage	How often we engage	Key to
We manage existing relationships to address various types of requests, queries and issues that cover over 350 clients, including issuers, fund managers and members of the Exchange.	<ul> <li>Interactions on a daily basis.</li> </ul>	<ul> <li>Avai proc spec as ne licen</li> <li>Key man</li> </ul>
We also communicate with		supp



#### How often we engage Key topics discussed Key actions

#### • Feedback on market changes and initiatives.

- Market-making performance and obligations.
- Operational challenges or specific concerns
- opportunities.

 Developing new business, or consulting on new initiatives and providing feedback to the Market Development teams.

#### Value created

- Strengthened relationships through direct support and regular visits.
- Provided tailored solutions to improve efficiency and performance.
- Enhanced liquidity and member satisfaction by addressing feedback promptly.



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- ilable data and ducts' cifications as well negotiating nses' terms.
- account nagement and port activities.

- Anticipate customers' demands and develop products and solutions to meet future client requirements.
- Enhancing existing solutions based on client feedback.
- Strengthening key account management to improve service efficiency.

### Value created

- Providing products and solutions that add value and help clients to effectively and efficiently access and trade the Saudi markets.
- Ensuring products and services meet evolving client needs.
- Strengthening relationships through responsive engagement and tailored support.

# Stakeholder Engagement continued

# Regulators

#### How we engage How often we engage Key topics discussed Key actions We uphold strong compliance practices through ongoing legal consultations, continuous governance enhancements and active participation in specialized committees, public discussions and regulatory reform initiatives.

We maintain open communication with regulatory authorities, including regular audits and reviews, timely written responses, proactive legal support and meeting any additional relative regulatory requirements.  Ongoing communication with regulators, with a tailored approach to shifts in the regulatory environment. The engagement intensifies when new rules come into effect or when governance documents require updating, as well as during audits and

inspections. This outreach can include providing clarification on current projects, addressing market concerns or submitting

necessary data. • The Legal Department, through its Compliance Division, serves as the key intermediary with regulatory bodies to ensure that all guidelines and requirements are

effectively met when

needed.

- Alignment with the new Companies Law. • Updates to governance documents and foundational regulations of subsidiaries.
- Amendments to listing, trading, settlement, investor definitions and market maker regulations.
- Development and regulation of the debt instruments market, including refining
- rules for Sukuk, bonds and other debt instruments.
  - Legal consultations, audits and responses to regulatory authorities.
  - Secondary offering amendments to increase free-floating shares, improve market liquidity and expand investment opportunities.

- Ensured subsidiaries' compliance with the Companies Law by redefining Board roles, improving reporting, strengthening accountability and enhancing transparency.
- Improved post-trade infrastructure by refining settlement, investor definitions and market maker rules, aligning with global standards.
- Strengthened debt market regulations by reducing issuance sizes, exempting
- government funds and clarifying aggregated account mechanisms.
- Implemented compliance training, including the Code of Professional Conduct, to reinforce best practices.

Value created • Strengthened market integrity through enhanced regulatory compliance. Increased market

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- liquidity by refining listing, settlement and market-making rules.
- Expanded investment opportunities, supporting the growth and diversification of the Saudi capital market.
- Strengthened investor protection through governance updates and regulatory adherence.
- Reduced costs while maintaining quality through efficient legal handling and risk mitigation.
- Built a knowledgeable, compliant workforce with strong ethical standards.



# **External Business Partners**

How we endade	How often we engage	Key topics discussed	Key actions	Va	lue created
Regular meetings, strategic forums, collaborative partnerships, industry conferences, media engagement and educational programs, fostering knowledge exchange, innovation and market development.		<ul> <li>Market trends, regulatory updates, investment opportunities, technological advancements and capital markets transformation.</li> <li>Joint ventures, cross- border trading, digital transformation and market integration.</li> <li>Global economic issues, investment strategies, capital market development, ESG integration and sustainability.</li> <li>Financial literacy, market structure updates, ESG frameworks and evolving regulatory changes.</li> </ul>	<ul> <li>Implementing i technologies, r enhancements, innovative finar and strengthen Arabia's positic capital markets</li> <li>Forming strate, with global exc financial institu</li> <li>Hosting and pa major industry as the Capital N Forum, roadsho investor roundt share insights a practices.</li> <li>Developing and training progra workshops for p</li> <li>Interviews, spei press releases a engagement.</li> </ul>	egulatory e launching s ing Saudi r on as a global c hub. [ gic alliances hanges and p tions. c articipating in r events such i Markets b wand best [ d delivering ms and r oartners. c ial articles, and digital t	Enhanced market efficiency, ensured regulatory compliance, strengthened Saudi Arabia's positioning as a global capital market hub and provided diverse opportunities. Increased market access facilitated cross-border investments and promoted market integration. Strengthened industry relationships, increased global nvestor interest, enhanced knowledge exchange and promoted sustainable investment practices. Enhanced partner knowledge, ncreased market participation and ensured compliance with regulatory standards. Increased global investor interest and increased understanding of the Group's role in the global inancial ecosystem.
How we engage	How often we engage	Key topics disc	ussed	Key actions	Value created
We amplify our impact through media coverage, community support initiatives, financial contributions t social causes, educational workshops and strategic partnerships with academic institutions and charitable foundations.	<ul> <li>Ongoing through me channels.</li> <li>Regular community initiative a</li> </ul>	<ul> <li>Education and s development.</li> <li>Sustainable loca</li> <li>Employment for (particularly you</li> <li>and</li> <li>Health and well</li> <li>Investment awa financial literacy on</li> <li>Career develop</li> </ul>	reness. skills al procurement. r Saudi nationals ith). -being support. reness and ment in capital	<ul> <li>Public awareness campaigns on diverse investment topics.</li> <li>Supporting loca small and medium enterprises.</li> <li>Virtual workshops on investment topics.</li> </ul>	<ul> <li>and financial literacy.</li> <li>Enhanced educational and employment opportunities for Saudi nationals.</li> </ul>

- - - Women and youth participation in industry.



2030 goals.

